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6 messages generated by an operating system related to a configuration process, said  
7 configuration notification unit providing a computer display output indicating when a  
8 configuration process is in progress for a USB device;  
9 b) changing the number of peripheral devices coupled to said hub; and  
10 c) waiting until said configuration notification unit indicates that configuration is  
11 complete before changing the number of devices coupled to said hub.

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### REMARKS

#### I. Status of the Application

Upon entry of this amendment, claims 1-13 are pending in the present Application. In an Office Action mailed on September 27, 2002, the Examiner objected to the drawings and specification as containing various typographical errors. The Examiner also rejected claims 1-13 under 35 U.S.C. 103(a) as being unpatentable over applicant admitted prior art (AAPA) in view of U.S. Patent No. 5,953,010 ("Kampe").

#### II. The Presently Claimed Invention

The presently claimed invention claims a plug and play computer system that includes a configuration notification program that receives operating system configuration messages and generates a visual display for promptly notifying the user when it is unsafe to couple or uncouple a plug and play device to the computer

#### III. The Cited References

AAPA discloses how current computer systems fail to generate immediate and specific indications to users to indicate when it is unsafe to connect or disconnect a device to the computer, thereby resulting in bus lock-up conditions.

Kampe discloses a system where cryptic and complicated text messages generated by the operating system for display to a user are intercepted and converted to more user-friendly messages that are displayed to the user at intervals of at least every few seconds.

#### IV. Objections to the Drawings

The Examiner objected to the drawings as containing numerous errors. Applicant

herewith submits amended Figures 5A, 5B, 6B, 8, 9, 11A, 13 and 15 showing proposed drawings corrections for the Examiner's review. Applicant asserts that the proposed drawings amendments in conjunction with the amended Specification correct the errors in the drawings as pointed out by the Examiner. Therefore, upon acceptance by the Examiner of the submitted drawing amendment, Application believes the drawings are in conformance with the Examiner's requirements and respectfully requests that the objection to the drawings be withdrawn.

**V. Objections to the Specification**

The Examiner objected to the Specification as containing numerous errors. Applicant herein amends the Specification to correct various typographical and grammatical errors. Applicant asserts that the amendments to the Specification and the amended drawings correct errors as pointed out by the Examiner. Therefore, Application believes that the Specification is in conformance with the Examiner's requirements and respectfully requests that the objection to the Specification be withdrawn.

**VI. Rejection of Claims 1-13**

The Examiner rejected claims 1-13 under 35 U.S.C. 103(a) as being unpatentable over AAPA in further view of Kampe. Applicant herein amends claim 1 to clarify that operating system configuration messages are used by a configuration notification program to create a visual display to a user. Applicant submits that for the following reasons, claims 1-13 are allowable over the Examiner's rejections.

**Claim 1**

Claim 1 is allowable under 35 U.S.C. 103(a) because neither AAPA nor Kampe disclose or suggest all of the recited claim elements of claim 1, and there is no motivation in either AAPA or Kampe, respectively, to modify them to arrive at the invention as claimed in claim 1.

Applicant herein amends claim 1 to recite a configuration notification program that receives configuration messages to create a visual display as follows:

*a configuration notification program residing on said computer for receiving said*

*first and said second configuration messages and creating a visual display for promptly notifying the user when it is unsafe to couple or uncouple a plug and play device to said computer (underline added for emphasis)*

By receiving system configuration messages, the configuration notification program operates to create an immediate and specific visual display for the user. This allows a user to determine exactly when it is unsafe to connect or disconnect a device to the computer, thereby avoiding bus lock-up problems. Both the AAPA and Kampe fail to disclose or suggest such a system, and there is no motivation to modify them to arrive at such a program.

#### AAPA

The AAPA describes how a typical operating system on a conventional computer system displays an icon, such as an "hour glass", when a new device is plugged into the computer system. However, the general "hour glass" icon does not tell a user the exact state of the configuration process so that a user can avoid connecting and disconnecting devices at unsafe times. Furthermore, the general "hour glass" icon is generated by a series of operating system modules that generate the icon a significant time after a device has been connected or disconnected from the computer. This time delay increases the probability that a bus lock-up will occur because the user is not quickly alerted as to when it is unsafe to connect or disconnect a device from the computer. (page 3, lines 3-16)

Therefore, what is missing from the AAPA, and what is claimed by the Applicant, is a system that interprets operating system configuration messages to quickly generate a specific indication to the user indicating when it is unsafe to connect or disconnect a device from the computer.

#### Kampe

Kampe discloses a system where cryptic and complicated text messages generated by the operating system for display to a user are intercepted and converted to more user-friendly messages that are displayed to the user. For example, text status messages to be displayed to a user are intercepted and those messages are remapped to icons that are generated and displayed rather than the text messages. (Col. 3, lines 15-20) As a result, additional delays are incurred in Kampe's system because messages to the display have

to be intercepted and remapped to icons before being displayed. Thus, the delay problems identified and solved by the instant application is further aggravated by Kampe's teachings.

Furthermore, a key aspect of Kampe is that “*regular progress updates are produced, preferably every few seconds, so that the user is given satisfactory assurance that startup is proceeding as it should.*” (Col. 3, lines 32-35) Thus, Kampe teaches that status messages are updates every few seconds, and so cannot overcome the problems identified, solved, and claimed by the instant application, namely, that system configuration messages are used to create a display for the user to provide immediate and specific information to avoid bus lock-ups.

Therefore, both the AAPA and Kampe fail disclose or suggest, either alone or in combination, all of the recited claim elements of claim 1. As a result, claim 1 is not obvious in view of the teachings of the AAPA and Kampe. Therefore, Applicant submits that claim 1 is allowable under 35 USC 103(a) and respectfully requests that the Examiner's rejection of claim 1 be withdrawn.

#### Dependent claims 2-6

Since claim 1 is allowable under 35 USC 103(a), claims 2-6, which depend from claim 1, are also allowable for at least the same reasons as claim 1. Therefore, Applicant respectfully requests that the rejection of claims 2-6 under 35 USC 103(a) be withdrawn.

#### Claim 7

Applicant herein amends claim 7 to include a message handler that processes configuration messages as follows:

*a message handler residing on said computer for hooking said first and second configuration messages, said message handler including a message analyzer unit and a state determination unit arranged to provide a first output signal when the configuration process is in progress and a second output signal when the configuration process is completed*

The AAPA and Kampe fail disclose or suggest, either alone or in combination, all of the recited claim elements of claim 7. For example, by hooking first and second configuration messages, Applicant's claimed system operates to provide immediate and

specific indications to a user indicating when it is unsafe to connect or disconnect a device to the computer. (See discussion of claim 1) For example, Kampe teaches a system that introduces delays that aggravate the problems identified in the AAPA. As a result, claim 7 is not obvious in view of the teachings of the AAPA and Kampe. Therefore, Applicant submits that claim 7 is allowable under 35 USC 103(a) and respectfully requests that the Examiner's rejection of claim 7 be withdrawn.

#### Dependent claims 8-10

Since claim 7 is allowable under 35 USC 103(a), claims 8-10, which depend from claim 7, are also allowable for at least the same reasons as claim 7. Therefore, Applicant respectfully requests that the rejection of claims 8-10 under 35 USC 103(a) be withdrawn.

#### Claim 11

Applicant herein amends claim 11 to include method steps of detecting a configuration message and detecting a configuration completion message as follows:

*detecting a configuration message generated by the operating system whenever the number of the peripheral devices coupled to the computer changes;  
detecting a configuration completion message generated by the operating system when the configuration process is completed;*

The AAPA and Kampe fail disclose or suggest, either alone or in combination, all of the recited method steps of claim 11. For example, by detecting a configuration message and detecting a configuration completion message, both generated by the operating system, Applicant's claimed system operates to provide immediate and specific indications to a user indicating when it is unsafe to connect or disconnect a device to the computer. (See discussion of claim 1) For example, Kampe teaches a system that introduces delays that aggravate the problems identified in the AAPA. As a result, claim 11 is not obvious in view of the teachings of the AAPA and Kampe. Therefore, Applicant submits that claim 11 is allowable under 35 USC 103(a) and respectfully requests that the Examiner's rejection of claim 11 be withdrawn.

### Claim 12

Applicant herein amends claim 12 to include method steps of hooking a configuration detection message and hooking a configuration completion message as follows:

*hooking said configuration detection message;  
hooking said configuration completion message;*

The AAPA and Kampe fail disclose or suggest, either alone or in combination, all of the recited method steps of claim 12. For example, by hooking a configuration detection message and hooking a configuration completion message, both generated by the operating system, Applicant's claimed system operates to create an indication to the user to provide an immediate and specific indication that indicates when it is unsafe to connect or disconnect a device to the computer. (See discussion of claim 1) Both the AAPA and Kampe fail to disclose or suggest these method steps. For example, Kampe teaches a system that introduces delays that aggravate the problems identified in the AAPA. As a result, claim 12 is not obvious in view of the teachings of the AAPA and Kampe. Therefore, Applicant submits that claim 12 is allowable under 35 USC 103(a) and respectfully requests that the Examiner's rejection of claim 12 be withdrawn.

### Claim 13

Applicant herein amends claim 13 to include a method step of providing a configuration notification unit that monitors internal configuration messages generated by the operating system as follows:

*providing a configuration notification unit having a message handler and an indication unit, said configuration notification unit monitoring internal configuration messages generated by an operating system related to a configuration process, said configuration notification unit providing a computer display output indicating when a configuration process is in progress for a USB device*

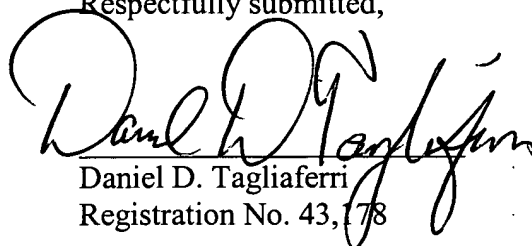
The AAPA and Kampe fail disclose or suggest, either alone or in combination, all of the recited method steps of claim 13. For example, by monitoring internal configuration messages generated by the operating system, Applicant's claimed system

operates to create an indication to the user to provide an immediate and specific indication that indicates when it is unsafe to connect or disconnect a device to the computer. (See discussion of claim 1) Both the AAPA and Kampe fail to disclose or suggest such a method step. For example, Kampe teaches a system that introduces delays that aggravate the problems identified in the AAPA. As a result, claim 13 is not obvious in view of the teachings of the AAPA and Kampe. Therefore, Applicant submits that claim 13 is allowable under 35 USC 103(a) and respectfully requests that the Examiner's rejection of claim 13 be withdrawn.

### CONCLUSION

Upon entry of this amendment, claims 1-13 are pending in the present Application. Applicant respectfully submits that no new matter has been entered by way of this response to the Office Action. Applicant believes that all pending claims are now in condition for allowance. Reconsideration of the rejections and objections is respectfully requested. A marked-up version of the claims is attached hereto entitled, "VERSION WITH MARKINGS TO SHOW CHANGES." If the Examiner believes that a telephone conference would expedite the prosecution of this application, the Examiner is invited to call the undersigned attorney at (415) 351-5738.

Respectfully submitted,



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**VERSION WITH MARKINGS TO SHOW CHANGES**

**Method and System for Reliable Device Configuration in a Computer System  
Application No. 09/467,569**

**IN THE SPECIFICATION**

Attached please find a clean version of a Substitute Specification and a marked-up version of the Substitute Specification showing additions and deletions.

**IN THE CLAIMS**

Please amend the claims as follows.

- 1           1. (Amended) A plug and play computer system, comprising:
  - 2           a) a computer having a visual display and at least one exterior serial bus port for
  - 3 coupling at least one peripheral device to said computer;
  - 4           b) an operating system residing on said computer programmed to dynamically
  - 5 configure the computer system, said operating system having a first subroutine for
  - 6 generating a first **[internal] configuration** message indicative of said operating system
  - 7 sensing a change in the number of devices coupled to said computer and a second
  - 8 subroutine for generating a second **[internal] configuration** message indicative of said
  - 9 operating system completing a configuration process; and
  - 10          c) a configuration notification program residing on said computer for receiving
  - 11 said first and said second **configuration** messages and creating a visual display for
  - 12 promptly notifying the user when it is unsafe to couple or uncouple a plug and play
  - 13 device to said computer.
- 1           2. (Amended) The system of Claim 1, wherein said configuration notification
- 2 program comprises:
  - 3           a message handler residing on said computer, said message handler hooking said
  - 4 first and said second **configuration** messages, said message handler including a message
  - 5 analyzer unit and a state determination unit arranged to provide a first output signal when
  - 6 the configuration process is in progress and a second output signal when the
  - 7 configuration process is completed; and



8 an indication unit residing on said computer and coupled to the output of said  
9 message handler, said indication unit generating a visual display notifying the user when  
10 it is unsafe to couple or uncouple a plug and play device to said computer.

1 7. (Amended) A computer system for improving the reliability of universal  
2 serial bus (USB) interface plug and play peripheral devices, comprising:

- 3 a) a computer having at least one USB downstream port;
- 4 b) a compound hub having an upstream USB connector coupled to said port of  
5 said computer via a USB cable, said hub having at least one downstream USB connector  
6 port and at least one non-USB peripheral device port;
- 7 c) an operating system with USB interface capability residing on said computer,  
8 said operating system generating a first subroutine for generating a first configuration  
9 message indicative of said operating system sensing a change in the bus topology and a  
10 second subroutine for generating a second configuration message indicative of said  
11 operating system completing a configuration process for said bus topology;
- 12 d) a message handler residing on said computer for hooking said first and second  
13 configuration messages, said message handler including a message analyzer unit and a  
14 state determination unit arranged to provide a first output signal when the configuration  
15 process is in progress and a second output signal when the configuration process is  
16 completed; and
- 17 e) an indication unit residing on said computer and coupled to the output of said  
18 message handler, said indication unit generating a visual display notifying the user when  
19 it is unsafe to remove or insert a plug and play device.

1 11. (Amended) A method of providing notification of the status of a  
2 configuration process of an operating system of a computer coupled to peripheral  
3 devices, comprising the steps of:

- 4 (a) detecting [an event] a configuration message generated by the operating  
5 system whenever the number of the peripheral devices coupled to the computer changes;
- 6 (b) determining if said [detection] configuration message corresponds to a  
7 change in the number or type of said peripheral devices requiring that a user be notified;
- 8 (c) notifying the user that a configuration process is in progress;

- 9 (d) detecting a configuration completion message generated by the operating  
10 system when the configuration process is completed;
- 11 (e) determining if said configuration completion message corresponds to a  
12 change in the number or type of said peripheral devices requiring that the user be notified  
13 that the configuration process is completed;
- 14 (f) notifying the user that the configuration process is complete; and
- 15 (g) notifying the user that it is safe to change the number or type of peripheral  
16 devices coupled to the computer.

- 1 12. (Amended) A method of notifying a computer user of the status of a  
2 reconfiguration process initiated by coupling or uncoupling a peripheral device to a  
3 computer via a universal serial bus connector (USB), comprising the steps of:
- 4 (a) providing an operating system that automatically configures the computer  
5 whenever a change in the number or type of peripheral USB devices changes, said  
6 operating system generating a configuration detection message whenever the number of  
7 peripheral devices coupled to the computer changes such that the configuration process is  
8 initiated and a configuration completion message when the configuration process is  
9 completed;
- 10 (b) hooking said configuration detection message;
- 11 (c) determining if said configuration detection message corresponds to a change  
12 in the number or type of said peripheral devices requiring that a user be notified;
- 13 (d) notifying the user that a configuration process is in progress;
- 14 (e) hooking said configuration completion message;
- 15 (f) determining if said configuration completion message corresponds to a  
16 change in the number or type of said peripheral devices requiring that the user be notified  
17 that the configuration process is completed;
- 18 (g) notifying the user that the configuration process is complete; and
- 19 (h) notifying the user that it is safe to change the number or type of peripheral  
20 devices coupled to the computer.

- 1 13. (Amended) A method of reducing the frequency of universal serial bus  
2 crashes in a computer system comprised of a computer coupled to a compound hub via a

3 USB cable, the method comprising the steps of:  
4 a) providing a configuration notification unit having a message handler and an  
5 indication unit, said configuration notification unit monitoring internal **configuration**  
6 messages generated by an operating system related to a configuration process, said  
7 configuration notification unit providing a computer display output indicating when a  
8 configuration process is in progress for a USB device;  
9 b) changing the number of peripheral devices coupled to said hub; and  
10 c) waiting until said configuration notification unit indicates that configuration is  
11 complete before changing the number of devices coupled to said hub.



**Substitute Specification**  
**Application No. 09/467,569**  
**With Markings to Show Changes**

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**METHOD AND SYSTEM FOR RELIABLE DEVICE CONFIGURATION IN A**  
**COMPUTER SYSTEM**

**FIELD OF THE INVENTION**

10 [0001] The present invention relates generally to providing notification of the status of a configuration process for a computer coupled to peripheral devices. More particularly, the present invention is directed towards providing notification of the status of a configuration process in a personal computer coupled to peripheral devices by universal serial bus (USB) connectors.

15

**BACKGROUND OF THE INVENTION**

[0002] Compact personal computers typically have a limited number of peripheral device ports. A problem that has developed is that there are a large variety of different device ports and different manufacturers developed proprietary interfaces for peripheral device ports which were incompatible with each other. One way that has been developed to increase the number of different peripheral devices that can be coupled to a personal computer is through a standard industry interface connector port.

25 [0003] There are several industry standard serial bus interfaces. One of the most commonly used in the universal serial bus interface (USB). USB is a standard interface for connecting peripheral devices to the system bus of a personal computer. USB is a fast, bi-directional, isochronous, low-cost, dynamically attachable serial interface. It is now commonly used for attaching personal computers to a wide variety of other peripheral input/output (I/O) devices, such as monitors, disk drives, modems, mice,

printers, scanners, game controllers, keyboards, and other peripherals. With USB there is a single connector type, which permits all USB devices to plug into the same USB socket type. It is common for personal computers and notebook computers to include at least one USB port.

5

[0004] A USB operating system has software program subroutines which monitor the bus's topology and that control access to USB resources. A USB hub is responsible for transferring data upstream (to the computer) and downstream (from the computer to peripheral devices). A personal computer typically has a root hub, which commonly  
10 contains one or two USB ports. The root hub may be connected to other external hubs, thereby permitting a large number of peripheral devices to be coupled to a personal computer via an auxiliary hub, as shown in prior art FIGS. 1-2.

[0005] USB uses a token-based bus architecture. USB initialization software  
15 permits USB devices to be added or removed at any time via an enumeration process, i.e., USB connectors permit so-called "hot plugging" in which new devices can be coupled or uncoupled while the computer is in operation. Whenever a peripheral device is added or removed the change in topology is recognized by the personal computer and appropriate drivers are loaded so that the device is almost immediately available for use.

20

[0006] One goal of USB is true "plug and play" operation in which the user can dynamically couple peripheral devices to a personal computer for immediate use. Once a device is coupled to the PC host, the device is enumerated by the USB initialization software and assigned a unique identifier. However, in practice the configuration process  
25 can require a significant amount of time. The time required for the configuration process to be completed will depend upon many factors, such as the type of drivers that must be loaded and the complexity of the system, but will typically be at least several seconds. One problem caused by this is that the user may attempt to use the computer before the operating system has completed loading the drivers and applicable housekeeping  
30 activities. This may cause a system error. Another problem is that serious operating

system (O/S) problems may result if a USB device is inserted and then removed before the bus topology is reconfigured. For example, if the plug and play device is inserted and then removed before successful reconfiguration, the O/S will not have completely finished processing the device configuration and its corresponding event. This may cause the USB bus to crash such that the other peripheral devices will not work properly. Similarly, a USB bus crash may occur if the user changes the type of device coupled to a USB socket before the bus topology has a chance to become reconfigured. For example, a user may first connect a printer to a USB socket and then change his or her mind and exchange the printer for a scanner. If the changeover occurs too rapidly the bus topology will not have sufficient time to complete the configuration process when the printer is connected or removed such that a USB bus crash may occur.

[0007] Known prior art systems do not directly notify a user of the status of the reconfiguration process. For example, the Windows® '98 operating system changes the mouse cursor to an hourglass icon whenever a USB device is plugged or unplugged. The hourglass icon disappears a short time after configuration is completed. However, one problem with using the hourglass icon is that it may be hard for the user to see it. For example, if the cursor is at the edge of the screen it may be hard to see the hourglass icon. There can also be a significant time delay before the hourglass icon is displayed by the system. Another problem is that the hourglass icon is generically used to indicate that the computer is busy and cannot be distinctly interpreted to mean that the USB device is being set up. Consequently, an hourglass icon may not provide a clear enough indication that the user should wait to couple/uncouple USB connectors. Most importantly, the hourglass icon does not directly inform the user exactly when it is safe for the user to couple or uncouple USB connectors. It is merely a general icon that indicates that the operating system is busy and does not display the status of the configuration process.

[0008] What is desired is a new apparatus and method for providing real time notification of the progress of a configuration process for a computer coupled to other

devices or systems by a serial bus, such as a personal computer coupled to peripheral devices by a USB interface.

### **SUMMARY OF THE INVENTION**

5 [0009] A goal of the present invention is to improve the reliability of a configuration process for a computer coupled to a peripheral device via a serial bus interface. Broadly speaking, the present invention is directed towards providing real-time information to a user which will assist the user to avoid coupling or decoupling peripheral devices to the computer at inappropriate times.

10

[0010] The apparatus of the present invention generally comprises[ing] a computer system including: a computer having at least one serial bus port for coupling peripheral devices to the computer; an operating system residing on the computer programmed to dynamically configure the computer system, the operating system having  
15 a first subroutine for generating a first internal message indicative of the operating system sensing a change in the number of devices coupled to said computer and a second subroutine for generating a second message indicative of said operating system completing a configuration process; and a configuration notification program residing on the computer receiving the first and the second messages and creating a visual display  
20 notifying the user in real time when it is unsafe to remove or insert a plug and play device. In a preferred embodiment, the configuration notification program comprises a message handler residing on the computer, the message handler hooking the first and the second messages, the message handler including a message analyzer unit and a state determination unit arranged to provide a first output signal when the configuration  
25 process is in progress and a second output signal when the configuration process is completed; and an indication unit residing on the computer and coupled to the output of the message handler, the indication unit generating a visual display notifying the user when it is unsafe to remove or insert a plug and play device.

[0011]        The method of the present invention for providing notification of the status of a reconfiguration process of an operating system of a computer coupled to peripheral devices generally includes the steps of: detecting an event message generated by the operating system whenever the number of the peripheral devices coupled to the computer changes; determining if the detection message corresponds to a change in the number or type of the peripheral devices requiring that a user be notified; notifying the user that a configuration process is in progress; detecting a completion message generated by the operating system when the configuration process is completed; determining if the completion message corresponds to a change in the number or type of the peripheral devices requiring that the user be notified that the configuration process is completed; notifying the user that the configuration process is complete; and notifying the user that it is safe to change the number or type of peripheral devices coupled to the computer.

[0012]        One object of the present invention is a method and apparatus to reduce the frequency of universal serial bus crashes. In a preferred embodiment of a compact personal computer, a compound hub performing a port replication function is used to reduce the number of connectors attached to the case of the computer. The configuration notification unit generates a warning to the user when configuration is in process such that it is unsafe to couple or decouple a peripheral device to the computer, thereby reducing the frequency with which users couple or decouple peripheral devices at inappropriate times.

[0013]        Another object of the present invention is a method and apparatus for providing prompt notification to the user when configuration is complete, thereby assisting the user to determine when the computer system is ready for further action.

[0014]        Still another object of the present invention is method and apparatus to notify users when it is safe to couple or decouple peripheral devices to a computer.

**BRIEF DESCRIPTION OF THE DRAWINGS**



[0015] FIG. 1 depicts a prior art computer system in which the computer is coupled to a peripheral device by a universal serial bus hub.

5 [0016] FIG. 2 depicts a prior art computer system in which a computer is coupled to a peripheral device via nested universal serial bus hubs.

[0017] FIG. 3 is a block diagram of a prior art hub.

10 [0018] FIG. 4 is a block diagram of a prior art compound hub.

[0019] FIG. 5A is perspective of a preferred compound hub having a plurality of connector ports.

15 [0020] FIG. 5B is a block diagram of the electronic function of the hub shown in FIG. 5A.

[0021] FIG. 6A is an illustrative side view of a compact portable computer.

20 [0022] FIG. 6B is a perspective view of the computer of FIG. 6A.

[0023] FIG. 7 is a rear view of the case of a conventional portable computer, showing the relative area of common connector ports.

25 [0024] FIG. 8 is a rear view of the case of a conventional compact portable computer coupled to a conventional port replicator, showing the relative area of common connector ports.

30 [0025] FIG. 9 shows a rear view of a preferred compact computer coupled to a compound port similar to that shown in FIG. 5A.

[0026] FIG. 10 shows a preferred embodiment of the configuration notification system of the present invention.

[0027] FIG. 11A is a schematic block diagram illustrating how conventional  
5 operating systems dispatch event messages to application programs.

[0028] FIG. 11B is a functional block diagram showing the flow of internal messages in a conventional operating system.

10 [0029] FIG. 12 is a functional block diagram of the configuration notification unit of the present invention.

[0030] FIG. 13 is a flow chart showing a preferred method of operation of the configuration notification unit of FIG. 12.

15

[0031] FIG. 14 is a block diagram showing the display outputs of the identification unit of FIG. 12.

[0032] FIG. 15 shows preferred display screen implementations of the outputs of  
20 FIG. 14.

### **DETAILED DESCRIPTION OF THE INVENTION**

[0033] As shown in FIG. 1, a serial bus interface may be used to connect peripheral device[s] 40 to a computer 10. A commonly used serial bus interface is the  
25 universal serial bus interface (USB). While a single peripheral device could be coupled via a USB connector, it is common to couple several devices [40] to a computer [10] via a hub [30]. As shown in FIG. 1, USB cables 80 may couple one or more [couple] peripheral devices [40] to computer 10 via a hub 30. As shown in FIG. 2, several hubs can be coupled together to further increase the potential number of peripheral devices  
30 coupled to a computer. As indicated in FIG. 2, with USB, peripheral devices can be

nested up to five hub (30A-E) levels deep such that up to 127 I/O devices may be connected to one personal computer using the USB interface.

[0034] FIG. 3 is a block diagram of a conventional hub 330. Hub 330 includes an upstream connector 335 for communicating with computer 10. A repeater 340, controller 345, and power control 350 are arranged to control the flow of data between upstream connector 335 and downstream connectors 355. FIG. 4 is a block diagram of a compound hub 430. Compound hub ~~[435]~~ 430 includes an upstream connector 435, and a repeater 440, controller 445, and power control 450. However, in addition to downstream connectors 455 it has embedded I/O devices 460. These embedded I/O devices may comprise any conventional I/O device communicating with computer 10 via a USB interface, i.e., the I/O devices are integrated into the hub and provided with control circuits that permit them to communicate to the computer via the USB interface. Repeater 440, controller 455, and power control 450 are arranged to control the flow of data between upstream connector 435, **[and]** downstream connectors 455 and embedded devices 460.

[0035] FIG. 5A is a profile view of a compound hub 530 manufactured by Fujitsu, Ltd. of Japan, the assignee of the present invention, and sold as the Fujitsu Universal LAN Hub®. Compound hub 530 includes an upstream USB port 510, several downstream USB ports 515, 520, and 525, several peripheral device connectors, such as a “parallel” connector port 540, a PS/2 keyboard port connector 550, an RJ-45 Ethernet connector port 560, an RS232-type communications connector port 570, a PS/2 mouse connector port 580, along with a volume control 585, and a headphone jack 590. FIG. 5B is a functional block diagram of compound hub 530. As can be seen in FIG. 5B, each of the connector ports functions as an embedded USB I/O device, i.e., communicates to an upstream computer via the USB upstream port.

[0036] Compound hub 530 provides an important benefit for portable computers with a limited number of connector ports. As used in this application, a portable

computer includes, but is not limited to, laptop computers, notebook computers, and other types of compact portable computing devices. FIG. 6A is side view of compact notebook computer 600, while FIG. 6B is a profile view of **[a] the** compact notebook computer 600. As shown in FIGS. 6A and 6B, computer 600 has a case 610. Within case 610  
5 resides a compact electronic computer CPU 620. A display 640 is coupled to computer 600 in a conventional folding clamshell arrangement. Computer 600 typically has at least one universal serial bus connector (not shown in FIGS. 6A and 6B) disposed along a rear 630 or side surface **[630] 632** of case 610. One limitation to the size of computer 600 is the physical size and weight of the connectors required to couple the computer to  
10 peripheral devices. Generally speaking, it is desirable to be able to couple a computer to many different types of peripheral devices, such as printers, scanners, mice, disk drives, etc. However, while the size of personal computers continues to shrink, the physical size of the connectors required to form a signal link to peripheral devices has not decreased.

15 **[0037]** FIG. 7 is a **[rear]** view of the rear surface of **[the] a** computer case **[(corresponding to a head-on view of surface 630 of FIG. 6A)]** of a conventional all-in-one portable computer 700. Computer 700 is an all-in-one computer in the sense that it has all of the commonly desired connector ports. These include a keyboard port **[710] 715**, a mouse port **[715] 710**, an audio port 720, a modem port 725, an infrared (IrDA)  
20 port 730, a monitor port 735, two USB ports 740, a parallel port 745, a serial port 750, a proprietary external expander connector 755, and a LAN port 760. The relative area of various connector ports is shown in FIG. 7. As can be seen in FIG. 7, a considerable amount of area is required for these connector ports. Additionally, the connector ports and associated internal mechanical and electrical components significantly increase the  
25 total volume and weight of computer 700.

**[0038]** External port replicators are one way to increase the number of effective ports coupled to a computer. FIG. 8 shows a conventional compact portable computer 800 coupled to a conventional proprietary I/O expander 880. As can be seen in FIG. 8,  
30 some of the peripheral device connector ports, such as keyboard port **[715] 815**, mouse

port [710] 810, serial bus port 855 and parallel port [745] 845 now reside in expander unit 880, which replicates the function of some or all of the ports of an all-in-one portable computer. However, in this conventional arrangement space is still required for proprietary external expander connector port [755] 855, which is required to couple to a  
5 corresponding female expander connector 810 on expander 880.

[0039] FIG. 9 shows the rear surface [630] 902 of an embodiment of a compact computer [600] 900 of the present invention developed by the inventors for use with [a] the compound hub 530 [similar to that] shown in FIG. 5. A single USB port [605] 905  
10 is used to couple signals to external hub 530. Most of the large area peripheral device connector ports of a conventional all-in-one computer are now disposed on hub 530 and are connected to the computer 900 via the USB port 905. Additionally, external expander connector port 755 is eliminated in computer system 900. Comparing FIGS. 7, 8, and 9, it can be seen that computer [600] 900 [of computer system 900] requires a reduced area  
15 of rear surface [630] 902 to be devoted to connectors. Moreover the associated internal volume and weight of the connectors disposed in computer [600] 900 is also reduced, permitting a reduction in the total volume and weight of computer [600] 900.  
Consequently, computer [600] 900 can be reduced in size and perform an all-in-one function. Note also that the total number of ports, particularly USB ports, is increased  
20 compared to computer 700. Consequently, the inventive computer system 900 of FIG. 9 reduces the size and weight of computer [600] 900 while permitting a greater number of peripheral devices to be coupled to computer [600] 900. The computer system 900 shown in FIG. 9 is particularly useful for compact notebook computers in which it is desirable to reduce the height, h, and width, w, of a computer [600] 900, as indicated in  
25 FIG. 9. However, computer system 900 could also be generalized to other compact electronic computer systems in which a electronic computer unit is coupled via a serial bus connector [605] 905 to a compound hub 530.

[0040] Referring to FIG. 9, it can be seen that in a preferred embodiment of  
30 system 900 that there are three USB downstream ports and six peripheral device ports

disposed on compound hub 530. The six peripheral device ports function as embedded USB devices. Consequently, all of the nine ports function as hot-pluggable USB ports, i.e., computer [600] 900 monitors the status of all nine ports and dynamically reconfigures computer system 900 whenever a device is coupled or uncoupled from one of the ports. Consequently, the frequency of hot-plugging of computer system 900 will tend to be significantly greater than for a conventional portable computer 700. This increases the risk of a system error if a user does not wait while the system is being reconfigured each time a device is plugged or unplugged.

10 [0041] In developing computer system 900 the inventors discovered that the frequency of USB bus crashes increased compared to a conventional computer 700. The inventors believe that one explanation for this is that a more complex bus topology is created. The inventors believe that another explanation is that conventional O/S software and drivers may not be as robust to reconfiguration errors as generally believed. Still  
15 another explanation is that the increased number of effective USB ports of computer system 900 tends to increase the frequency of hot plugging, thereby increasing the likelihood that a user will not wait a sufficiently long period of time for system 900 to configure itself before unplugging one USB device and inserting another USB device.

20 [0042] Conventional operating systems do not provide a direct notification to the user of what is happening in the internal operating system during the reconfiguration process. Consequently, the user does not know exactly when the operating system has completed the configuration process. To reduce the frequency of USB bus crashes, the inventors developed an apparatus and method to provide direct notification to the user of  
25 the status of the configuration process in system 900.

[0043] FIG. 10 shows a block diagram of the inventive notification system 1000. A computer unit 1010 has an operating system 1020 residing on it. Computer 1010 has a display 1040 and a downstream USB connector 1050 coupled to the case 1060.  
30 Computer 1010 preferably also has a speaker 1035 for providing an audio output.

Computer [1060] 1010 may be any type of computer but is preferably a compact personal computer, such as a notebook computer. A standard USB cable 1055 couples USB connector 1050 to other devices, preferably via a hub 1070. Hub 1070 may be any type of USB hub, but is preferably a compound USB hub. Hub 1070 preferably has at least one embedded device 1075 or at least one embedded connector 1080 in order to increase its functionality. Hub 1070 also preferably includes at least one downstream USB port 1090.

[0044] As shown in FIG. 10, a software program which is a configuration notification unit 1030 resides on computer 1010. As described below in more detail, configuration notification unit 1030 provides notification to the user, preferably via display 1040 and speaker 1035, of when the configuration process is complete such that it is safe to couple/uncouple devices to computer 1010 via hub 1070.

[0045] The function and operation of configuration notification unit 1030 is illustrated in FIGS. 11-15. FIG. 11A is a block diagram of a conventional operating system 1100. The operating system detects incoming events requiring action and transmits internal messages about these events to coordinate the activities of system resources. The operating system includes subroutines for generating internal messages alerting other components that an event has been detected and that the configuration process is completed. As one example, an incoming event may be a keyboard input. For peripheral devices coupled by serial bus connectors to a computer, the operating system senses changes in the bus topology whenever a device is coupled or uncoupled from the computer. As shown in FIG. 11A, an operating system includes subroutines which converts an incoming event 1110 into a corresponding message 1120 before dispatching it via a dispatcher 1130 to other applications or components, such as the application software 1140 and device drivers 1160. Referring to FIG. 11B, in the conventional graphical user interface (GUI) operating system of the Windows® O/S, operations such as mouse clicking, keyboard typing and powering the system on/off, causes the operating system to generate message(s) using the information from the device driver. The

messages which are thus generated are then sent to all application software running on the operating system. All running application software thus receives the message(s) notifying them about a hardware status change. Each application then processes the message if the message is applicable or otherwise related to the application. FIG. 11B  
5 shows some of the messages related specifically to the Windows® O/S. The O/S software used to generate these internal messages are well known in the art.

[0046] Referring again to FIG. 11A, for peripheral devices, each message contains information about the detected device. If one of the components, such as the  
10 application software or device drivers, has the capability to handle the event, then the component can capture the event and process it. Note that in a conventional operating system that the messages 1120 dispatched by dispatcher 1130 are used solely for coordinating the internal resources of the computer. The computer user has no direct knowledge of the internal messages being transmitted by dispatcher 1130 to an  
15 application 1140.

[0047] The inventors have recognized that these internal messages 1120 generated by the operating system can be used by [a] the configuration notification unit 1030 to generate an output to the user which indicates precisely when the system has  
20 reconfigured itself, i.e., to provide direct notification of precisely when it is safe to couple or uncouple a serial bus connector to the computer.

[0048] FIG. 12 is a block diagram of configuration notification unit 1030. The function of configuration notification unit 1030 is to provide an output which notifies a  
25 user in real-time of the status of the configuration process. In a preferred embodiment, configuration notification unit 1030 includes a message handler 1005 coupled to an indication unit 1004. Message handler 1005 includes as sub-units a message analyzer unit 1002, and a state determination unit 1003. Message analyzer unit 1002 analyzes the information of a message to determine if the event is related to device plug-in or plug-  
30 out. If an event is related to device plug-in or plug-out, state determination unit 1003



determines the configuration state (e.g., configuration process initiated, configuration in process, or configuration completed). The indication unit 1004 preferably performs the function of a display unit, i.e., generates a visual display which provides the user with a display to inform the user of the status of the configuration process and, particularly,  
5 whether it is safe to remove or insert a plug and play device. However, more generally indication unit 1004 may generate any type of output that notifies the user of the configuration status, such as an audio output.

[0049] The message handler 1005 accepts messages related to incoming events.  
10 For a Windows® operating system, message handler 1005 preferably accepts messages via a Windows® message hook mechanism and DLL functionality. The term “hook” is a common term of art. However, the hooking function is also sometimes alternately described by the terms monitor, intercept, or event processor. While the Windows® operating system is one common operating system with a message hook mechanism, it  
15 will be understood that many modern multi-tasking operating systems have a similar internal functionality to handle messages and inter-process communications. Consequently, the apparatus and method of the present invention is not limited to the Windows® operating system **[operating system]** but may also be implemented on other operating systems. Moreover, message handler 1005 may be implemented in other  
20 operating systems using a powerful high level programming language like C, Pascal, or even a platform specific language.

[0050] Message handler 1005 queries hooked messages for additional information to determine if a device node has changed and determines if the device is a USB device.  
25 If the device is a USB device, the message handler sends a signal to indication unit 1004 that instructs indication unit 1004 to create an appropriate output to notify the user when it is safe to remove or insert a new device.

[0051] FIG. 13 is a flow chart illustrating showing a preferred method of  
30 operation of configuration notification unit 1030. Note that in a preferred embodiment

that indication unit 1004 provides an output 1305 indicating that it is safe to remove or insert a device when the system is in a steady-state configuration. Thus output 1305 corresponds to a preferred embodiment in which the user, prior to inserting or removing a device, is alerted that it is safe to remove or insert a new plug and play device, i.e., the computer system is in a stable, configured state. Output 1305 is preferably automatically generated by configuration notification unit 1030 a preset time period after the system has configured itself.

[0052] As indicated in block 1310, a user event is generated whenever the user couples or uncouples a peripheral device to the computer. As indicated in block 1312, the operating system automatically detects such events. After an event is detected, the operating system automatically sends an internal detection message to all applications (i.e., all active operating system processes, components, and software applications, in accordance with conventional operating system operation), as shown in block 1314. This message typically includes information on the device type and node location. Message handler 1005 hooks event messages, as shown in block 1316. As shown in block 1318, message analyzer unit 1002 determines if the message corresponds to a plug and play device. If the message is from a USB plug and play device then state determine unit 1003 selects an appropriate configuration state message which indication unit 1004 should display (e.g., "configuration in progress"), **as shown at block 1318.**

[0053] As indicated by dashed arrow 1320, for messages corresponding to a USB plug and play device for which it is necessary to notify the user of the configuration status, message handler 1005 provides an appropriate signal to indication unit 1004, whereby indication unit 1004 generates an output indicative that configuration is in progress, as indicated by block 1322. Note that the user is thus promptly warned that the reconfiguration process is in progress. The output 1322 is preferably provided within a short period of time (i.e., a small fraction of a second, depending upon the speed of the computer) so that the user can minimize the time required to wait for the configuration process to be completed. A preferred method is to display a visual indicator on the

display 1040 of computer 1010. In addition, an audio signal may be output via speaker 1035.

[0054] A conventional operating system automatically dispatches an internal  
5 message when the configuration process is completed, as indicated in block 1324.  
Message handler 1005 hooks this completion message, as indicated at 1326, determines  
that it is from a plug and play device via message analyzer unit 1002, and that  
configuration is complete using state determination unit 1003. As indicated in block  
1328, message handler 1005 then sends a command 1330 to indication unit 1004 to alert  
10 the user that configuration is complete, i.e., that the system is ready, as indicated by block  
1332. As indicated by dashed arrow 1330 this step is also preferably performed rapidly  
(i.e., in a small fraction of a second) so that the user promptly receives notification that  
the system is ready almost immediately after the operating system sends its configuration  
complete message to internal applications. In a preferred embodiment, as shown by  
15 block 1334, the indication unit 1004 will then display a message indicating that it is safe  
to remove or insert new devices.

[0055] FIG. 14 is a block diagram showing the outputs of a preferred embodiment  
of indication unit 1004. As indicated in FIG. 14, in a preferred embodiment, indication  
20 unit 1004 generates three outputs: a ready display, a configuration in progress display,  
and a safe to remove display. A preferred screen display implementation is shown in  
FIG. 15. FIG. 15(a) shows a preferred screen display implementation of a USB icon  
1502, which indicates that it is safe to remove or insert a USB device. FIG. 15(b) shows  
a preferred screen display implementation of an animated icon 1504 displaying a message  
25 that configuration is in progress. FIG. 15(c) shows a preferred screen display  
implementation of an animated icon 1506 indicating that the system is ready. Each of the  
icons in 15(a), 15(b), and 15(c) preferably has a different color code, and is positioned on  
the screen "tray."

[0056] Although the present invention has been discussed in detail in regards to a compact personal computer with a USB interface, it will, be understood that the inventive configuration status notification apparatus and method may be beneficially used on a variety of other electronic computers, such as conventional portable computer 600 or 700.

5 Additionally, it will be understood that the present invention may be practiced with a variety of serial bus interfaces. While the USB interface is one industry standard serial bus interface, the method and apparatus of the present invention may be applied to any serial bus interface in which the operating system senses changes in system topology and performs an enumeration process to load appropriate drivers as part of a reconfiguration process. As one example, the IEEE 1394 serial bus is another serial bus for which the  
10 method and apparatus of the present invention may be applied.

[0057] It will also be understood that a variety of compact electronic devices aside from portable computers are the electronic equivalent of a portable personal  
15 computer. There is a general push in the electronics art for hot-pluggable serial signal bus connectors to be made to a variety of compact electronic devices. Consequently, it is believed that the method and apparatus of the present invention are applicable to a variety of analogous electronic systems.

20 [0058] Although a preferred embodiment of the present invention and modifications thereof have been described in detail herein, it is to be understood that this invention is not limited to those precise embodiments and modifications, and that other modifications and variations may be affected by one of ordinary skill in the art without departing from the spirit and scope of the invention as defined in the appended claims.